Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the aud SiteID(s) is/are provided by ASC in the confirmation email of the publication of this Form 3.

1. General, client/CAB information

1.1 Document Type	Audit announcement
1.2 Document language	English
1.3 Second document language	Chinese
1.4 Unit of certification type	Single Site
1.4.1 Company name	辽宁安德食品有限公司
	Liaoning Ande Foodstuff Co., Ltd.
1.4.2 UoC Name	辽宁安德水产养殖有限公司
	Liaoning Ande Aquaculture Co., Ltd.
1.5 Country where UoC is located	China
1.6 ASC Standard	Bivalves
1.7 Standard version	1.1
1.8 Certification process is subject to CAR version	2.2
1.9 Name of the Conformity assessment body (CAB)	Intertek Testing Services Ltd.
Client contact person - from the UoC	
1.15 First name	俊峰Junfeng
1.16 Surname	郝Hao
1.17 Position in the UoC (Job title)	行政经理Administration Manager
1.18 Email address	haojunfeng1@163.com
1.19 Phone number	0415 7109777
1.20 Other means of contact e.g. Skype	

2. Audit information

2.1 ASC standard principles covered by the audit	ASC_stand	ard principles		
2. 1. 1	Principle 1	Covered		
2. 1. 2	Principle 2	Covered		
2. 1. 3	Principle 3	Covered		
2. 1. 4	Principle 4	Covered		
2. 1. 5	Principle 5	Not Covered		
2. 1. 6	Principle 6	Not Covered		
2. 1. 7	Principle 7	Not Covered		
2.1.8	Principle 8			
		=		
2.2 Activities covered under the scope of the	Activity	Under scope of certification	Under Scope of this audit	Notes
certification and under the scope of the				
audit.				
Activities in the table apply to final				
product only.				
2. 2. 1	Stocking	Covered	Covered	
2.2.2	Nursing	Not Covered	Not Covered	
2.2.3	Growing Out	Covered	Covered	
	Growing Out Transferring	Covered Not Covered		
	Transferring		Covered	
2. 2. 4 2. 2. 5	Transferring	Not Covered	Covered Not Covered	
2. 2. 4 2. 2. 5 2. 2. 6	Transferring Harvest Vaccination	Not Covered Covered	Covered Not Covered Covered	
2. 2. 4 2. 2. 5 2. 2. 6 2. 2. 7	Transferring Harvest Vaccination	Not Covered Covered Not Covered	Covered Not Covered Covered Not Covered	
2. 2. 4 2. 2. 5 2. 2. 6 2. 2. 7 2. 2. 8	Transferring Harvest Vaccination Fallowing	Not Covered Covered Not Covered Not Covered Not Covered	Covered Not Covered Covered Not Covered Not Covered	
2. 2. 4 2. 2. 5 2. 2. 6 2. 2. 7 2. 2. 8 2. 2. 9	Transferring Harvest Vaccination Fallowing Transportation	Not Covered Covered Not Covered Not Covered Not Covered Not Covered	Covered Not Covered Covered Not Covered Not Covered Not Covered	
2. 2. 4 2. 2. 5 2. 2. 6 2. 2. 7 2. 2. 8 2. 2. 9 2. 2. 10	Transferring Harvest Vaccination Fallowing Transportation Storage (if present at farm)	Not Covered Covered Not Covered Not Covered Not Covered Not Covered Not Covered	Covered Not Covered Covered Not Covered Not Covered Not Covered Not Covered	

2.3 Certification cycle	2
2.4 Audit type	Surveillance audit
2.5 Audit number in certification cycle	2
2.6 Will harvesting be witnessed during audit?	Yes
2.6.1 If harvest is NOT witnessed, please justify:	N/A
2.7 Audit conducted (On-site/Remote):	On-site

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spend on the activities by the environmental- and social auditor(s):

2.8	2.9	2.10
Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)
Off-site activities		12
On-site activities		40
Total man days	0	6.5

Audit team and other inv 2.11	2.12	2.13	2.14	2.15
Surname	First name	Role	Expertise needed for the audit (required for technical experts only)	Person on-site or remote?
Li	Allen Dekun	Audit team leader		On-site
Li	Allen Dekun	Technical Auditor		On-site
Wang	Bangping	Technical Auditor		On-site

3. Site information

3. 2	3. 3	3. 4	3.6	3.13	3.14	3.15	3.16	3.17	3.18
Site name	Ownership	Primary culture species	Cycle duration	Latitude (N, S)	Longitude (E,W)	Production system*	Number of	Start date of	End date of
				(00.000000)*	(00.000000)*		production units	audit	audit
辽宁安德水产养殖有限公司	Member - in case	Japanese carpet shell	Long-cycle species (>6						
Liaoning Ande Aquaculture Co., Ltd.	cooperative	(Ruditapes philippinarum)	months)	3. 925499	12. 325996	Bottom culture		2022年5月12日	2022年5月14日

4. Stakeholder engagement

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8	4.9	4.10
Name of Company/ Organisation if applicable	Contact <u>person - First</u>	Contact person - Surname	Country where stakeholder is	Email address of	Stakeholder type	If stakeholder type	Contact date	Did the	Stakeholder
	name		based	contact person/		"other" was	stakeholder	stakeholder	comments relate
				stakeholder		selected what type?		submit comments?	
									standard
									indicator
									number?
东港市海洋与渔业局 Fishery bureau in	文雅	赵	China	dghaiyangyuye123@	Authorities				
Donggang City	入 4世			163. com	Author reres				
相邻养殖单位-1 Neighbour Farm-1	明伟	吴		3163146903@qq. com	Communities				
相邻养殖单位-2Neighbour Farm-2	慧朋			1765355146@qq. com	Compositora				
	冠霖		China	a96616574@sina.co					
相邻养殖单位-3 Neighbour Farm-13	心林	际	China	a90010374@S1na.co	Labour unions				
小寺村委会 Xiaoshi Villiage	群	赵	China	xiaosicunwyh123@s	Neighbour				
				ina.com	Ŭ				
员工代表	明德	修	China	424343205@qq.com	Other				