# Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the aud
SiteID(s) is/are provided by ASC in the confirmation email of the publication of this Form 3.

## 1. General, client/CAB information

| | |
|---|---|
| 1.1 Document Type | Audit announcement |
| 1.2 Document language | English |
| 1.3 Second document language | Chinese |
| 1.4 Unit of certification type | Single Site |
| 1.4.1 Company name | Dandong Zhengrun Food Co., Ltd. 丹东市正润食品有限公司 |
| 1.4.2 UoC Name | Zhangdao Farm 獐岛养殖场 |
| 1.5 Country where UoC is located | China |
| 1.6 ASC Standard | Bivalves |
| 1.7 Standard version | 1.1 |
| 1.8 Certification process is subject to CAR version | 2.2 |
| 1.9 Name of the Conformity assessment body (CAB) | Intertek Testing Services Ltd. |
| **Client contact person - from the UoC** | |
| 1.15 First name | Yi 毅 |
| 1.16 Surname | Sun 孙 |
| 1.17 Position in the UoC (Job title) | QC Manager 品质部长 |
| 1.18 Email address | chris840315@163.com/17640472821@163.com |
| 1.19 Phone number | 86 415-7526567/86 415-7526567 |
| 1.20 *Other means of contact e.g. Skype* | NA |

## 2. Audit information

2.1 ASC standard principles covered by the audit

| | ASC standard principles | |
|---|---|---|
| 2.1.1 | Principle 1 | Covered |
| 2.1.2 | Principle 2 | Covered |
| 2.1.3 | Principle 3 | Covered |
| 2.1.4 | Principle 4 | Covered |
| 2.1.5 | Principle 5 | Covered |
| 2.1.6 | Principle 6 | Covered |
| 2.1.7 | Principle 7 | Covered |
| 2.1.8 | Principle 8 | Not Covered |

2.2 Activities covered under the scope of the certification and under the scope of the audit.
*Activities in the table apply to final product only.*

| | Activity | Under scope of certification | Under Scope of this audit | Notes |
|---|---|---|---|---|
| 2.2.1 | Stocking | Covered | Covered | |
| 2.2.2 | Nursing | Not Covered | Not Covered | |
| 2.2.3 | Growing Out | Covered | Covered | |
| 2.2.4 | Transferring | Not Covered | Not Covered | |
| 2.2.5 | Harvest | Covered | Covered | |
| 2.2.6 | Vaccination | Not Covered | Not Covered | |
| 2.2.7 | Fallowing | Not Covered | Not Covered | |
| 2.2.8 | Transportation | Not Covered | Not Covered | |
| 2.2.9 | Storage (if present at farm) | Not Covered | Not Covered | |
| 2.2.10 | Processing (if present at far | Not Covered | Not Covered | |
| 2.2.11 | Packing (if present at farm) | Not Covered | Not Covered | |
| 2.2.12 | Other (Please describe) | Not Covered | Not Covered | |

| | |
|---|---|
| 2.3 Certification cycle | 2 |
| 2.4 Audit type | Recertification audit |
| 2.5 Audit number in certification cycle | 1 |
| 2.6 Will harvesting be witnessed during audit? | Yes |
| 2.6.1 If harvest is NOT witnessed, please justify: | NA |
| 2.7 Audit conducted (On-site/Remote): | On-site |

Please indicate the hours assigned to the different audit activities in the table below, separated by the **hours** spend on the activities by the environmental- and social auditor(s):

| 2.8 | 2.9 | 2.10 |
|---|---|---|
| Time assigned to audit activities | Social Auditor(s) | Environmental auditor(s) |
| Off-site activities | 8 | 8 |
| On-site activities | 18 | 34 |
| Total man days | 3.25 | 5.25 |

Audit team and other involved persons

| 2.11 | 2.12 | 2.13 | 2.14 | 2.15 |
|---|---|---|---|---|
| Surname | First name | Role | **Expertise needed for the audit** (required for technical experts only) | Person on-site or remote? |
| Li | Allen Dekun | Social Auditor | NA | On-site |
| Li | Allen Dekun | Technical Auditor | NA | On-site |
| Wang | Bangping | Technical Auditor | NA | On-site |

## 3. Site information

| 3.2 | 3.3 | 3.4 | 3.6 | 3.13 | 3.14 | 3.15 | 3.16 | 3.17 | 3.18 |
|---|---|---|---|---|---|---|---|---|---|
| Site name | Ownership | Primary culture species | Cycle duration | Latitude (N, S) (00.000000)* | Longitude (E,W) (00.000000)* | Production system* | Number of production units | Start date of audit | End date of audit |
| Dandong Zhengrun Food Co., Ltd. 丹东市正润食品有限公司-Zhangdao Farm 獐岛养殖场 | Member - in case cooperative | Japanese carpet shell (Ruditapes philippinarum) | Long-cycle species (>6 months) | 41.311666 | 123.983333 | Bottom culture | 1 | 09/05/2022 | 11/05/2022 |

## 4. Stakeholder engagement

| 4.1 | 4.2 | 4.3 | 4.4 | 4.5 | 4.6 | 4.7 | 4.8 | 4.9 | 4.10 |
|---|---|---|---|---|---|---|---|---|---|
| Name of Company/ Organisation if applicable | Contact person - First name | Contact person - Surname | Country where stakeholder is based | Email address of contact person/ stakeholder | Stakeholder type | If stakeholder type "other" was selected what type? | Contact date stakeholder | Did the stakeholder submit comments? | Stakeholder comments relate to what ASC standard indicator number? |
| 官方主管部门代表 Beijingzi Town Zhangdao Village committee北井子镇獐岛村委会 | 义 | 张 | China | 406402666@qq.com | Authorities | | | | |
| 社区/村委会代表 Gushan Town Dalu Island Village Farm东港市孤山镇大鹿岛村委会 | 宗义 | 张 | China | 360629657@qq.com | Communities | | | | |
| 其他养殖场/同行代表 Donggang Aquatic Co., Ltd.东港市水产经贸公司 | 培喆 | 杨 | China | 408713225@qq.com | Competitors | | | | |
| 工会或员工代表 Zhang Zongyi Farm张宗义养殖场 | 宗义 | 张 | China | 360629657@qq.com | Labour unions | | | | |
| 行业协会 中国水产流通与加工协会 WWF China | 李浩 | 张 | China | zhanglihaocappma@1 | NGO - Environmental area | | | | |