Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the aud SiteID(s) is/are provided by ASC in the confirmation email of the publication of this Form 3.

1. General, client/CAB information 1.1 Document Type Audit announcement English 1.2 Document language 1.3 Second document language1.4 Unit of certification type Chinese Single Site 1.4.1 Company name 海南新台胜实业有限公司/Hainan Xintaisheng Industry Co.Ltd. 屯昌县南吕镇蒙贡水库陈文焕水产养殖场/ChenWenHuan Aquaculture Farm, MengGong 1.4.2 UoC Name reservoir, NanLu town, Tunchang county. 1.5 Country where UoC is located China 1.6 ASC Standard Tilapia 1.7 Standard version 1.2 1.8 Certification process is subject to CAR 2.2 version Intertek Testing Services Ltd. 1.9 Name of the Conformity assessment body (CAB) Client contact person - from the UoC 1.15 First name 小芳/xiaofang 陈/chen 1.16 Surname 1.17 Position in the UoC (Job title) 1.18 Email address 经理/Manager xiaofang@taishengfishery.com 13876041028 1.19 Phone number 1.20 Other means of contact e.g. Skype

2. Audit information

	Covered	
2.1.2 Principle 2	Covered	
2.1.3 Principle 3	Covered	
2.1.4 Principle 4	Covered	
2.1.5 Principle 5	Not Covered	
2.1.6 Principle 6	Not Covered	
2.1.7 Principle 7	Not Covered	
2.1.8 Principle 8		
		1

2.2 Activities covered under the scope of the certification and under the scope of the audit. Activities in the table apply to final product only.	Activity	Under scope of certification	under Scope of this audit	Notes
2. 2. 1	Stocking	Covered	Not Covered	
2. 2. 2	Nursing	Not Covered	Not Covered	
2. 2. 3	Growing Out	Covered	Covered	
2. 2. 4	Transferring	Not Covered	Not Covered	
2. 2. 5	Harvest	Covered	Covered	
2. 2. 6	Vaccination	Not Covered	Not Covered	
2. 2. 7	Fallowing	Not Covered	Not Covered	
2. 2. 8	Transportation	Covered	Not Covered	
2. 2. 9	Storage (if present at farm)	Not Covered	Not Covered	
2. 2. 10	Processing (if present at far	Not Covered	Not Covered	
2. 2. 11	Packing (if present at farm)	Not Covered	Not Covered	
2. 2. 12	Other (Please describe)			

2.3 Certification cycle	1
2.4 Audit type	Surveillance audit
2.5 Audit number in certification cycle	3
2.6 Will harvesting be witnessed during audit?	Yes
2.6.1 If harvest is NOT witnessed, please justify:	
2.7 Audit conducted (On-site/Remote):	On-site
2.7 Audit conducted (On-site/Remote):	On-site

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spend on the activities by the environmental—and social auditor(s):

2.8

2.9

2.10

On-site activities		24		
Total man days	0	4.5		
		•		
Audit team and other involve	ed persons			
2. 11	2. 12	2. 13	2. 14	2. 15
Surname	First name	Role	Expertise needed for the	Person on-site o
			audit (required for	remote?
			technical experts only)	
Li	Allen Dekun	Audit team leader	•	On-site
Wang	Bangping	Technical Auditor		On-site
	3. 3			

Social Auditor(s) Environmental auditor(s)

3. Site information

7 1111 01 ma 01 011									
3. 2	3. 3	3. 4	3. 6	3. 13	3. 14	3. 15	3. 16	3. 17	3. 18
Site name	Ownership	Primary culture species	Cycle duration	Latitude (N, S)	Longitude (E, W)	Production system*	Number of	Start date of	End date of
				(00.000000)*	(00.000000)*		production units	audit	audit
ChenWenHuan Aquaculture Farm, MengGong		Nile tilapia (Oreochromis	Short-cycle species (≤6						
reservoir, NanLu town, Tunchang county.	Owned	niloticus)	months)	19. 32134	109. 938830	Ponds	877ton	24/03/2022	25/03/2022

4. Stakeholder engagement

Time assigned to audit activities

Off-site activities

					4.6				4.10
Name of Company/ Organisation if applicable	Contact person - First	Contact person - Surname	Country where stakeholder is	Email address of	Stakeholder type	If stakeholder type		Did the	Stakeholder
	name		based	contact person/		"other" was	stakeholder	stakeholder	comments relat
				stakeholder		selected what type?		submit comments?	to what ASC
									standard
									indicator
									number?
海口椰城海关	ning	zeng	China	19808985109	Authorities				Tumber.
南吕社区	wenting	meng	China	13976301660	Communities				
	zhonghe	meng	China		Competitors				
员工代表	xiuliang	du	China	13907516663	Labour unions				
水产品流通与加工协会	Lihao	Zhang	China		NGO - Environmental				
小) 阳机进一加工协会	Liliao	Zhang	Ciffia						
海主北京日 济 藩加工社人 <u>尔</u> 思土字	• .		Cl	100,000,4005/:1	area				
海南水产品流通加工协会行业专家	ying	wang	China	18608924005/xiehu	Other				
				i89@164.com					